**Report of Results from Rotterdam Convention 2016 Technical Assistance Needs Assessment Survey**

Generated on 6/29/2016

  

**PART A: TECHNICAL ASSISTANCE**

**Section 1: PRIORITY AREAS OF TECHNICAL ASSISTANCE and DELIVERY APPROACH**

1. **Please indicate a maximum of 4 priority areas in which your country requires technical assistance to implement the Rotterdam Convention:**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | **Africa** | **Asia and Pacific** | **Central and Eastern Europe** | **Latin America and Caribbean** | **Not answered** | **All Regions** |
| Supporting custom officers in implementing the Convention | 60% (9) | 44.44% (4) | 75% (3) | 75% (9) | 0% (0) | 62.5% (25) |
| Legal and institutional framework | 60% (9) | 66.67% (6) | 25% (1) | 41.67% (5) | 0% (0) | 52.5% (21) |
| National coordination (including a National Action Plan) | 66.67% (10) | 44.44% (4) | 25% (1) | 33.33% (4) | 0% (0) | 47.5% (19) |
| Monitoring and reporting pesticide poisoning incidents related to Severely Hazardous Pesticide Formulations (SHPF) | 40% (6) | 33.33% (3) | 25% (1) | 50% (6) | 0% (0) | 40% (16) |
| Following information exchange obligations (risk data, labelling, etc.) | 26.67% (4) | 66.67% (6) | 25% (1) | 25% (3) | 0% (0) | 35% (14) |
| Alternatives to Annex III chemicals  | 13.33% (2) | 33.33% (3) | 50% (2) | 50% (6) | 0% (0) | 32.5% (13) |
| Regional cooperation among Designated National Authorities or Official Contact Points | 40% (6) | 33.33% (3) | 25% (1) | 16.67% (2) | 0% (0) | 30% (12) |
| Decision-making and notifications for Final Regulatory Actions for non-Annex III chemicals | 20% (3) | 33.33% (3) | 50% (2) | 33.33% (4) | 0% (0) | 30% (12) |
| Sending export notifications of non-Annex III chemicals and acknowledging the receipt of similar notifications sent by other countries  | 13.33% (2) | 11.11% (1) | 0% (0) | 41.67% (5) | 0% (0) | 20% (8) |
| Submission of import responses for Annex III chemicals (industrial chemicals) | 13.33% (2) | 22.22% (2) | 50% (2) | 8.33% (1) | 0% (0) | 17.5% (7) |
| Submission of import responses for Annex III chemicals (pesticides) | 20% (3) | 0% (0) | 0% (0) | 25% (3) | 0% (0) | 15% (6) |
| Supporting the work of the Chemical Review Committee | 20% (3) | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 7.5% (3) |
| Not answered | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) |
| None of the above (my country does not face any challenges in these areas) | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) |
| **Total respondents per region** | **15** | **9** | **4** | **12** | **0** | **40** |

1. **For the selected priority areas above, please indicate which type of technical assistance you would prefer (kindly select a maximum of 2 approaches):**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | **Africa** | **Asia and Pacific** | **Central and Eastern Europe** | **Latin America and Caribbean** | **Not answered** | **All Regions** |
| Face-to-face training (workshop or "train-the-trainers") | 93.33% (14) | 88.89% (8) | 100% (4) | 58.33% (7) | 0% (0) | 82.5% (33) |
| Support to develop and implement specific projects | 73.33% (11) | 77.78% (7) | 75% (3) | 83.33% (10) | 0% (0) | 77.5% (31) |
| Documentation (tools and guidance) | 13.33% (2) | 33.33% (3) | 0% (0) | 8.33% (1) | 0% (0) | 15% (6) |
| Online training/webinars (i.e. where trainer support is available) | 6.67% (1) | 0% (0) | 0% (0) | 25% (3) | 0% (0) | 10% (4) |
| E-learning tools (modules, videos, CDs, toolkit) (i.e. where there is no trainer support) | 0% (0) | 0% (0) | 0% (0) | 8.33% (1) | 0% (0) | 2.5% (1) |
| Other: (Please specify) | 6.67% (1) | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 2.5% (1) |
| Not answered | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) |
| **Total respondents per region** | **15** | **9** | **4** | **12** | **0** | **40** |

**PART B: CROSS-CUTTING ISSUES**

**Section 1: NATIONAL IMPLEMENTING FRAMEWORK**

1. **Please indicate in which of the following areas your country faces challenges and requires technical assistance to set up a national coordination mechanism for the implementation of the Convention (kindly select a maximum of 3 priority areas):**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | **Africa** | **Asia and Pacific** | **Central and Eastern Europe** | **Latin America and Caribbean** | **Not answered** | **All Regions** |
| Coordination between ministries/departments/governmental agencies for the implementation of the Rotterdam Convention (including development of a National Action Plan) | 80% (12) | 88.89% (8) | 50% (2) | 50% (6) | 0% (0) | 70% (28) |
| Communication between all stakeholders (government, industry, NGOs, academia) for information exchange and dialogue | 73.33% (11) | 44.44% (4) | 25% (1) | 75% (9) | 0% (0) | 62.5% (25) |
| Coordination for the implementation of the Rotterdam, Basel and Stockholm Conventions (synergies at the national level) | 53.33% (8) | 22.22% (2) | 50% (2) | 50% (6) | 0% (0) | 45% (18) |
| Coordination between government and other stakeholders (industry, NGOs, academia) for the implementation of the Rotterdam Convention | 33.33% (5) | 55.56% (5) | 25% (1) | 50% (6) | 0% (0) | 42.5% (17) |
| Communication between the Official Contact Point and the Designated National Authority | 13.33% (2) | 11.11% (1) | 0% (0) | 0% (0) | 0% (0) | 7.5% (3) |
| None of the above (my country does not face any challenges in this area) | 6.67% (1) | 0% (0) | 25% (1) | 0% (0) | 0% (0) | 5% (2) |
| Other: (Please specify) | 0% (0) | 0% (0) | 0% (0) | 8.33% (1) | 0% (0) | 2.5% (1) |
| Not answered | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) |
| **Total respondents per region** | **15** | **9** | **4** | **12** | **0** | **40** |

1. **Please specify in which of the following areas your country faces challenges and requires technical assistance to set up the appropriate legal and administrative measures to implement and enforce the provisions of this Convention (kindly select a maximum of 3 priority areas):**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | **Africa** | **Asia and Pacific** | **Central and Eastern Europe** | **Latin America and Caribbean** | **Not answered** | **All Regions** |
| Adopting or amending legal or administrative measures to establish and strengthen national infrastructures and institutions for the effective implementation of the Convention | 66.67% (10) | 66.67% (6) | 50% (2) | 66.67% (8) | 0% (0) | 65% (26) |
| Setting up an appropriate legal framework for the regulation of industrial chemicals | 60% (9) | 55.56% (5) | 75% (3) | 66.67% (8) | 0% (0) | 62.5% (25) |
| Taking appropriate legal or administrative measures to ensure timely decisions with respect to the import of chemicals listed in Annex III  | 26.67% (4) | 33.33% (3) | 25% (1) | 41.67% (5) | 0% (0) | 32.5% (13) |
| Setting up an appropriate legal framework for the regulation of pesticides | 33.33% (5) | 33.33% (3) | 25% (1) | 16.67% (2) | 0% (0) | 27.5% (11) |
| Setting up appropriate legal or administrative measures to communicate the responses forwarded by the Secretariat in relation to the import of chemicals listed in Annex III (through the PIC Circular) to importers and exporters | 20% (3) | 33.33% (3) | 0% (0) | 33.33% (4) | 0% (0) | 25% (10) |
| For exporting countries, taking appropriate legal or administrative measures to ensure that exporters within your jurisdiction comply with decisions in each import response | 20% (3) | 33.33% (3) | 0% (0) | 25% (3) | 0% (0) | 22.5% (9) |
| None of the above (my country does not face any challenges in this area) | 13.33% (2) | 0% (0) | 25% (1) | 0% (0) | 0% (0) | 7.5% (3) |
| Other: (Please specify) | 6.67% (1) | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 2.5% (1) |
| Not answered | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) |
| **Total respondents per region** | **15** | **9** | **4** | **12** | **0** | **40** |

1. **Please indicate in which of the following areas your country faces challenges and requires technical assistance to set up a regional cooperation mechanism for the implementation of the Convention (kindly select a maximum of 1 priority area):**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | **Africa** | **Asia and Pacific** | **Central and Eastern Europe** | **Latin America and Caribbean** | **Not answered** | **Total** |
| Fostering cooperation between Official Contact Points of the Rotterdam Convention at the regional level to define regional positions for meetings of the Conferences of the Parties | 73.33% (11) | 44.44% (4) | 25% (1) | 33.33% (4) | 0% (0) | 50% (20) |
| Fostering cooperation between Designated National Authorities of the Rotterdam Convention at the regional level | 20% (3) | 55.56% (5) | 50% (2) | 66.67% (8) | 0% (0) | 45% (18) |
| Other: (Please specify) | 6.67% (1) | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 2.5% (1) |
| None of the above (my country does not face any challenges in this area) | 0% (0) | 0% (0) | 25% (1) | 0% (0) | 0% (0) | 2.5% (1) |
| Not answered | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) |
| **Total respondents per region** | **15** | **9** | **4** | **12** | **0** | **40** |

**Section 2: INFORMATION EXCHANGE AND MANAGEMENT**

1. **Please indicate in which of the following areas your country faces challenges and requires technical assistance to follow the information exchange obligations required by the Convention (kindly select a maximum of 3 priority areas):**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | **Africa** | **Asia and Pacific** | **Central and Eastern Europe** | **Latin America and Caribbean** | **Not answered** | **All Regions** |
| To collect information on hazardous chemicals, such as hazard data, risk evaluations, labelling information or export notifications of non-Annex III chemicals | 86.67% (13) | 77.78% (7) | 75% (3) | 58.33% (7) | 0% (0) | 75% (30) |
| To facilitate the exchange of scientific, technical, economic and legal information concerning the chemicals within the scope of the Convention, including toxicological, ecotoxicological and safety information | 66.67% (10) | 66.67% (6) | 50% (2) | 75% (9) | 0% (0) | 67.5% (27) |
| To disseminate such information at the national level to all agencies and organizations involved in chemical management activities | 53.33% (8) | 55.56% (5) | 50% (2) | 41.67% (5) | 0% (0) | 50% (20) |
| To ensure communication to potential national exporters of import responses of other countries (for a Party exporting an Annex III chemical) | 40% (6) | 44.44% (4) | 0% (0) | 16.67% (2) | 0% (0) | 30% (12) |
| To ensure that adequate labelling and information accompanies the exported chemical(s) (for a Party exporting an Annex III chemical) | 26.67% (4) | 11.11% (1) | 25% (1) | 25% (3) | 0% (0) | 22.5% (9) |
| To request information on hazardous chemicals from other Parties (such as hazard data or domestic regulatory actions)  | 20% (3) | 22.22% (2) | 0% (0) | 33.33% (4) | 0% (0) | 22.5% (9) |
| To facilitate the provision of information to other Parties, directly or through the Secretariat, on domestic regulatory actions that substantially restrict one or more uses of the chemical | 6.67% (1) | 11.11% (1) | 25% (1) | 25% (3) | 0% (0) | 15% (6) |
| None of the above (my country does not face any challenges in this area) | 0% (0) | 0% (0) | 25% (1) | 0% (0) | 0% (0) | 2.5% (1) |
| Not answered | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) |
| Other: (Please specify) | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) |
| **Total respondents per region** | **15** | **9** | **4** | **12** | **0** | **40** |

**Section 3: CUSTOMS**

1. **Please indicate in which of the following areas your country faces challenges and requires technical assistance to support its customs officers (or any import control authority) in implementing the Rotterdam Convention obligations (kindly select a maximum of 1 priority area):**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | **Africa** | **Asia and Pacific** | **Central and Eastern Europe** | **Latin America and Caribbean** | **Not answered** | **All Regions** |
| To increase coordination between DNAs and custom authorities to ensure customs are aware of national decisions regarding import of Annex III chemicals and have access to HS Customs Codes for Annex III chemicals | 53.33% (8) | 66.67% (6) | 25% (1) | 91.67% (11) | 0% (0) | 65% (26) |
| To raise awareness of custom officers on the PIC procedure (import responses and export notifications) | 46.67% (7) | 33.33% (3) | 75% (3) | 8.33% (1) | 0% (0) | 35% (14) |
| Not answered | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) |
| Other: (Please specify) | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) |
| None of the above (my country does not face any challenges in this area) | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) |
| **Total respondents per region** | **15** | **9** | **4** | **12** | **0** | **40** |

**Section 4: SUPPORTING THE WORK OF THE CHEMICAL REVIEW COMMITTEE**

1. **Please specify in which of the following areas your country faces challenges and requires technical assistance to participate in the work of the Chemical Review Committee (kindly select a maximum of 2 priority areas):**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | **Africa** | **Asia and Pacific** | **Central and Eastern Europe** | **Latin America and Caribbean** | **Not answered** | **All Regions** |
| To better understand the process of reviewing Final Regulatory Actions and listing chemicals in Annex III | 73.33% (11) | 88.89% (8) | 100% (4) | 66.67% (8) | 0% (0) | 77.5% (31) |
| To actively participate in and provide information to the chemicals’ review process | 53.33% (8) | 55.56% (5) | 25% (1) | 75% (9) | 0% (0) | 57.5% (23) |
| To better understand the working procedures of the Committee | 53.33% (8) | 33.33% (3) | 25% (1) | 16.67% (2) | 0% (0) | 35% (14) |
| None of the above (my country does not face any challenges in this area) | 6.67% (1) | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 2.5% (1) |
| Not answered | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) |
| Other: (Please specify) | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) |
| **Total respondents per region** | **15** | **9** | **4** | **12** | **0** | **40** |

**PART C: ANNEX III CHEMICALS**

**Section 1: ALTERNATIVES TO ANNEX III CHEMICALS**

1. **Please indicate in which of the following areas your country faces challenges and requires technical assistance related to alternatives to newly listed pesticides or industrial chemicals (kindly select a maximum of 1 priority area):**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | **Africa** | **Asia and Pacific** | **Central and Eastern Europe** | **Latin America and Caribbean** | **Not answered** | **All Regions** |
| To identify and evaluate the need for alternatives | 73.33% (11) | 88.89% (8) | 0% (0) | 66.67% (8) | 0% (0) | 67.5% (27) |
| To introduce and apply the alternative substances or methods | 26.67% (4) | 11.11% (1) | 75% (3) | 25% (3) | 0% (0) | 27.5% (11) |
| None of the above (my country does not face any challenges in this area) | 0% (0) | 0% (0) | 25% (1) | 8.33% (1) | 0% (0) | 5% (2) |
| Not answered | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) |
| Other: (Please specify) | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) |
| **Total respondents per region** | **15** | **9** | **4** | **12** | **0** | **40** |

**Section 2: MONITORING AND REPORTING PESTICIDE POISONING INCIDENTS RELATED TO SEVERELY HAZARDOUS PESTICIDE FORMULATIONS**

1. **Please specify in which of the following areas your country faces challenges and requires technical assistance to monitor and report pesticide poisoning incidents related to severely hazardous pesticide formulations (kindly select a maximum of 1 priority area):**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | **Africa** | **Asia and Pacific** | **Central and Eastern Europe** | **Latin America and Caribbean** | **Not answered** | **All Regions** |
| Setting up a system for monitoring and evaluation of incidents at the national level | 93.33% (14) | 100% (9) | 75% (3) | 66.67% (8) | 0% (0) | 85% (34) |
| Reporting of incidents to the Secretariat | 6.67% (1) | 0% (0) | 0% (0) | 16.67% (2) | 0% (0) | 7.5% (3) |
| None of the above (my country does not face any challenges in this area) | 0% (0) | 0% (0) | 0% (0) | 16.67% (2) | 0% (0) | 5% (2) |
| Other: (Please specify) | 0% (0) | 0% (0) | 25% (1) | 0% (0) | 0% (0) | 2.5% (1) |
| Not answered | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) |
| **Total respondents per region** | **15** | **9** | **4** | **12** | **0** | **40** |

**PART D: NON ANNEX III CHEMICALS**

**Section 1: EXPORT NOTIFICATIONS**

1. **Does your country export chemicals?**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | **Africa** | **Asia and Pacific** | **Central and Eastern Europe** | **Latin America and Caribbean** | **Not answered** | **All Regions** |
| No | 73.33% (11) | 55.56% (5) | 75% (3) | 58.33% (7) | 0% (0) | 65% (26) |
| Yes | 26.67% (4) | 44.44% (4) | 25% (1) | 41.67% (5) | 0% (0) | 35% (14) |
| Not answered | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) |
| **Total respondents per region** | **15** | **9** | **4** | **12** | **0** | **40** |

1. **If your country exports chemicals, please specify in which of the following areas your country faces challenges and requires technical assistance to notify exports of non-Annex III chemicals (kindly select a maximum of 1 priority area):**

|  |  |
| --- | --- |
|  | Does your country Export Chemicals? |
| **Priority Area** | **Yes** | **No** | **Not answered** | **Total** |
| Not answered | 0% (0) | 0% (0) | 0% (0) | 0% (0) |
| To develop and send the export notification | 50% (7) | 3.85% (1) | 0% (0) | 20% (8) |
| To acknowledge receipt of export notifications sent by other exporting countries | 14.29% (2) | 19.23% (5) | 0% (0) | 17.5% (7) |
| Other: (Please specify) | 14.29% (2) | 19.23% (5) | 0% (0) | 17.5% (7) |
| None of the above (my country does not face any challenges in this area) | 21.43% (3) | 57.69% (15) | 0% (0) | 45% (18) |
| Total | **14** | **26** | **0** | **40** |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | **Africa** | **Asia and Pacific** | **Central and Eastern Europe** | **Latin America and Caribbean** | **Not answered** | **All Regions** |
| None of the above (my country does not face any challenges in this area) | 40% (6) | 44.44% (4) | 100% (4) | 33.33% (4) | 0% (0) | 45% (18) |
| To develop and send the export notification | 26.67% (4) | 11.11% (1) | 0% (0) | 25% (3) | 0% (0) | 20% (8) |
| To acknowledge receipt of export notifications sent by other exporting countries | 6.67% (1) | 22.22% (2) | 0% (0) | 33.33% (4) | 0% (0) | 17.5% (7) |
| Other: (Please specify) | 26.67% (4) | 22.22% (2) | 0% (0) | 8.33% (1) | 0% (0) | 17.5% (7) |
| Not answered | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) | 0% (0) |
| **Total respondents per region** | **15** | **9** | **4** | **12** | **0** | **40** |

1. **Does your country import chemicals?**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | **Africa** | **Asia and Pacific** | **Central and Eastern Europe** | **Latin America and Caribbean** | **Not answered** | **All Regions** |
| Yes | 100% (15) | 100% (9) | 75% (3) | 83.33% (10) | 0% (0) | 92.5% (37) |
| Not answered | 0% (0) | 0% (0) | 25% (1) | 8.33% (1) | 0% (0) | 5% (2) |
| No | 0% (0) | 0% (0) | 0% (0) | 8.33% (1) | 0% (0) | 2.5% (1) |
| **Total respondents per region** | **15** | **9** | **4** | **12** | **0** | **40** |

1. **If your country imports chemicals, you receive export notifications from exporting countries. Do you need assistance to review the risks the import of such chemicals would entail?**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | **Africa** | **Asia and Pacific** | **Central and Eastern Europe** | **Latin America and Caribbean** | **Not answered** | **All Regions** |
| Yes | 86.67% (13) | 88.89% (8) | 50% (2) | 83.33% (10) | 0% (0) | 82.5% (33) |
| No | 13.33% (2) | 11.11% (1) | 25% (1) | 16.67% (2) | 0% (0) | 15% (6) |
| Not answered | 0% (0) | 0% (0) | 25% (1) | 0% (0) | 0% (0) | 2.5% (1) |
| **Total respondents per region** | **15** | **9** | **4** | **12** | **0** | **40** |